

206

DHB:vjs 10/13/05 3382-53553-01 439571.doc 75805.2

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Russell et al.

Application No. 09/425,102

Filed: October 22, 1999

Confirmation No. 9072

For: SOFTWARE COMPONENT EXECUTION
MANAGEMENT USING CONTEXT
OBJECTS FOR TRACKING
EXTERNALLY-DEFINED INTRINSIC
PROPERTIES OF EXECUTING
SOFTWARE COMPONENTS WITHIN AN
EXECUTION ENVIRONMENT

Examiner: Lao, Sue X.

Art Unit: 2126

Attorney Reference No. 3382-53553-01

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent
for Applicant(s)

Date Mailed October 18, 2005

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT PURSUANT TO
37 C.F.R. § 1.97(c)

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent. This Information Disclosure Statement ("IDS") is being mailed before Applicants received a final action, a notice of allowance, or an action that otherwise closes prosecution in the referenced application.

Copies of United States patents and United States published patent applications do not have to be provided to the Patent Office (37 C.F.R. 1.98(a)(2)(ii)). Copies of unpublished U.S. applications do not have to be provided, as long as the application is available on PAIR, as this

10/21/2005 RFEKADU1 00000015 09425102

01 FC:1806

180.00 DP

requirement of 37 C.F.R. § 1.98(a)(2)(iii) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on October 19, 2004 (1287 OG 163).

Applicants will provide copies of such patents or applications upon request.

Submitted herewith is a check for \$180.00 as required by 37 C.F.R. § 1.17(p) for filing this IDS in compliance with 1.97(c).

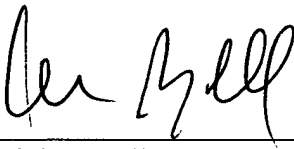
Please charge any additional fees which may be required in connection with filing this IDS, or credit any overpayment, to Deposit Account No. 02-4550. A duplicate copy of this sheet is enclosed.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By



Daniel H. Bell
Registration No. 56,141

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 595-5300
Facsimile: (503) 228-9446
cc: Client
Docketing



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number	3382-53553-01
Application Number	09/425,102
Filing Date	October 22, 1999
First Named Inventor	Russell
Art Unit	2126
Examiner Name	Lao, Sue X.

U.S. PATENT DOCUMENTS

Copies of U.S. Patent documents do not need to be provided, unless requested by the Patent and Trademark Office. For patents, provide the patent number and the issue date. For published U.S. applications, provide the publication number and the publication date. For unpublished pending patent applications, provide the application number and the filing date.

Examiner's Initials*	Cite No. (optional)	Number	Issue Date	Name of Applicant or Patentee
		6,832,380	14-Dec-2004	Lau et al.

FOREIGN PATENT DOCUMENTS

Examiner's Initials*	Cite No. (optional)	Country	Number	Publication Date	Name of Applicant or Patentee

OTHER DOCUMENTS

Examiner's Initials*	Cite No. (optional)	
		Sun Microsystems, Inc, Java Transaction API (JTA), version 1.0.1, April 1999.
		"Object Services RFP2 Submission. Transaction Service Specification," SunSoft, Inc., November 29, 1993, 36 pages.

EXAMINER
SIGNATURE:

DATE
CONSIDERED:

* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.